

Format of Bio-data in respect of persons desirous of inclusion in DPE databank

1. Name and surname (in full) DR. RAJEEV UBEROI
2. Director Identification Number (**refer Note 1**) 01731829.
3. Income Tax PAN
4. Gender MALE 4. Nationality INDIAN
5. Father's name and mother's name and Spouse's name (if married) LATE B K UBEROI (FATHER; MRS SATISH UBEROI (MOTHER) AND MRS. VANDANA UBEROI (WIFE)
6. Date of Birth 30TH JANUARY 1957
5. Present Position/Occupation GROUP GENERAL COUNSEL & GROUP HEAD - LEGAL & COMPLIANCE
7. Full address (present and permanent) with PIN code, Phone number, Mobile Number, E-Mail address)

PRESENT & MAILING ADDRESS:

TELEPHONE: (O) 022 4222320 (R) 022 23640013 (M) 9820127946

Email Id: rajeev.uberoid@idfc.com; rajeevuberoid1957@gmail.com

PERMANENT ADDRESS:

8. Educational & Professional Qualification (Graduation onwards)

S.No.	Course	Subjects	University/Institute	Year of Passing	Division/Class
1.	MA	ECONOMICS	MCMASTER UNIVERSITY, ONTARIO, CANADA	1990	1 ST CLASS
2.	LLB		BARKATULLAH UNIVERSITY, BHOPAL, INDIA	1978	1 ST CLASS
3.	PhD	PHILOSOPHY	BHOPAL UNIVERSITY	1994	1 ST CLASS

9. Work Experience

S.No.	Organization/ Institute	Post Held	Period (From - To)	Nature of Work
1	IDFC LIMITED	GROUP GENERAL COUNSEL – LEGAL & COMPLIANCE	05/2009 TILL DATE	LEGAL AND COMPLIANCE
2	STANDARD CHARTERED BANK	REGIONAL HEAD – OPERATIONAL RISK AND ASSURANCE AUDIT, INDIA AND SOUTH ASIA	09/2004 TO 05/2009	OPERATIONAL, RISK, LEGAL AND COMPLIANCE – INDIA * SOUTH ASIA
3	CITIBANK, INDIA	VICE PRESIDENT & REGULATORY HEAD	05/2004 TO 09/2005	LEGAL & COMPLIANCE
4	STANDARD CHARTERED BANK, INDIA	REGIONAL HEAD – LEGAL & COMPLIANCE, INDIA & NEPAL	04/2000 TO 09/2004	LEGAL & COMPLIANCE
5	ANZ GRINDLAYS BANK, MUMBAI	HEAD – RISK MANAGEMENT & COMPLIANCE	01/1997 TO 04/2000	RISK MANAGEMENT & COMPLIANCE
6	RESERVE BANK OF INDIA	ASSISTANT GENERAL MANAGER – DEPARTMENT OF BANKING SUPERVISION	01/1982 TO 01/1997	DEPT OF BANKING SUPERVISION
7	STATE BANK OF INDIA	OFFICER	01/1979 TO 01/1982	OFFICER

10. Whether SC/ST/OBC/Minorities/Women NO
(if yes, indicate the relevant category)

11. Area of Specialization (Finance, Law, Management, Sales, Marketing, Administration, Research, Corporate Governance, Technical Operations or any other) :
Law, Management, Corporate Governance

12. Indicate how you fulfill the laid down criteria (Refer Annex):
A (iv) & A (vi)

13. (a) Have any legal proceedings initiated or are pending against you : No
(b) If Yes, please furnish the details N.A

14. (a) Have you been or are designated partner in limited liability partnerships (LLP) : No
(b) If yes, please furnish the following details: N.A

Name of LLP	Nature of industry	Duration (with dates)
1.		
2.		
3.		

15. Directorship held/presently being in companies (both CPSEs and Private)

(a) Past (during last 5 years):

- Standard Chartered Bank of Nepal
- Standard Chartered Finance Ltd.
- Standard Chartered Investments & Loans (India) Ltd.
- Board of Standard Chartered Bank, India Management Committee
- Asset Liability Committee
- Country Operational Risk Group
- Standard Chartered (India) Wealth Advisory Service Private Ltd.

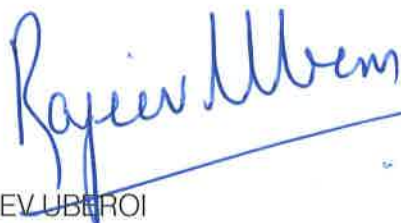
Government of Madhya Pradesh - Representative:

- Maihar Gramin Bank, Madhya Pradesh; and
- Bilaspur Gramin Bank, Madhya Pradesh

(b) Present :

DR. RAJEEV UBEROI		
Sr. No.	Name of the Companies/Firms	Nature of Interest
1	IDFC Projects Limited	Director
2	IDFC Finance Limited	Director
3	IDFC Alternatives Limited	Director
4	IDFC Project Equity Company Limited	Director
5	IDFC Securities Limited	Director
6	IDFC Investment Advisors Limited	Director
7	IDFC Primary Dealership Company Limited	Director
8	IDFC Securities Singapore Pte Limited	Director
9	IDFC Capital (Singapore) Pte Limited	Director
10	Millennium City Expressways Pvt Ltd	Director

(Signature)



Name : RAJEEV UBEROI

Date : 10th October 2014

Note 1: In case, you are not having Director Identification Number (DIN), you should immediately get the same in line with provisions of Sections 152 to 159 of the Companies Act, 2013 and furnish the updated bio-data to DPE.

Note 2 : In case there is any change in the above position, the revised and updated bio-data may be furnished to DPE within 15 days of such change.

Criteria for selection/appointment of non-official Directors on the Boards of CPSEs as laid down by the Government

(A) Criteria of Experience

- (i) Retired Government officials with a minimum of 10 years experience at Joint Secretary level or above.
- (ii) Persons who have retired as CMD/CEOs of CPSEs and Functional Directors of the Schedule 'A' CPSEs. The ex-Chief Executives and ex-Functional Directors of the CPSEs will not be considered for appointment as non-official Director on the Board of the CPSE from which they retire. Serving Chief Executives/Directors of CPSEs will not be eligible to be considered for appointment as non-official Directors on the Boards of any CPSEs.
- (iii) Academicians/Directors of Institutes/Heads of Department and Professors having more than 10 years teaching or research experience in the relevant domain e.g. management, finance, marketing, technology, human resources, or law.
- (iv) Professionals of repute having more than 15 years of relevant domain experience in fields relevant to the company's area of operation.
- (v) Former CEOs of private companies if the company is (a) listed on the Stock Exchanges or (b) unlisted but profit making and having an annual turnover of at least Rs.250 crore.
- (vi) Persons of eminence with proven track record from Industry, Business or Agriculture or Management.

(B) Criteria of Educational Qualification

Minimum graduate degree from a recognized university.

(C) Criteria of Age

The age band should be between 45-65 years (minimum/maximum limit)

This could, however, be relaxed for eminent professionals, for reasons to be recorded, being limited to 70 years.