

Format of Bio-data in respect of persons desirous of inclusion in DPE databank

1. Name and surname (in full) Ashwani Kumar
2. Director Identification Number (refer Note 1) 03555713
3. Income Tax PAN :
4. Gender Male 4. Nationality Indian
5. Father's name and mother's name and Spouse's name (if married)
Father Name: Sh. Nand Lal Gupta Mother Name: Smt. Tripta Rani and Wife
Name: Smt. Monica Gupta
6. Date of Birth 26 April, 1964
7. Present Position/Occupation Chartered Accountant
8. Full address (present and permanent) with PIN code, Phone number, Mobile Number, E-Mail address)

Mob No: 9814400270 Email Id: caashwanikumar64@gmail.com
<https://www.linkedin.com/in/dr-ca-ashwani-kumar-9147469/>

9. Educational & Professional Qualification (Graduation onwards)

S.No.	Course	Subjects	University/Institute	Year of Passing
1.	Chartered Accountant	Tax, Audit, Finance and Commerce	Institute of Chartered Accountants of India	1988
2.	The Practice of Trade Policy	International Business	Harvard University	2007
3.	The Oxford Private Equity Program	Financial Accounting & Statistics	Saïd Business School, University of Oxford	2009
4.	Corporate Finance & Strategy	Business Valuation	London School of Economics & Political Science	2011
5.	Wharton Innovative Growth Strategy	Innovative Strategies	The Wharton School	2012

10. Work Experience

S.No.	Organization/ Institute	Post Held	Period (From - To)	Nature of Work/ Area of Specialization
1.	Kumar Ashwani & Associates	Managing Partner	1989 to Till Date	Audit, Tax, Finance, Accounts etc.
2.	State Bank of Patiala	Independent Director	2006 to 2012	Remained Member of all the committees of the bank's Board including Chairman of the Audit Committee
3.	National Steel Consumers Council	Executive Member	2012	Actively raised the issues related to the consumers.
4.	ICAI	Special Invitee- Direct Tax Committee	2012	Contributed towards the establishment of Tax Computation Standards.

11. Whether SC/ST/OBC/Minorities/Women NA
(if yes, indicate the relevant category)

12. Area of Specialization (Finance, Law, Management, Sales, Marketing, Administration, Research, Corporate Governance, Technical Operations or any other) Finance, Audit, Taxation, Accounts, Economics, Corporate Governance, Innovations Etc. (as per detail biodata attached)

Other Areas of Specialisation:

- Financial and strategic Planning
- P & L Management
- Taxation
- Analysis Of Financial Statements
- Auditing and Compliance
- Operating and Working Capital Management
- Budget Management
- Cash Flow Management And Modeling Expertise on International Tax Laws

13. Indicate how you fulfill the laid down criteria (Refer Annex)

- (i) Criteria of Experience: Myself is a professional of repute being a Chartered Accountant practicing for last more than 28 Years as Managing Partner of a reputed Firm of CA's, having ISO 9001 accreditation and acquired expertise in the area of Finance, Tax, Audit, Accounts and Management. Served on the board of State Bank of Patiala Between 2006 to 2012 & served on all the

committees of the bank including acting as **Chairman of the Board** for 3 Years and **Chairman of the Audit Committee** for more than 5 Years.

- (ii) Criteria of Education Qualification: Myself is a Science Graduate and Member of the Institute of Chartered Accountants of India.
- (iii) Criteria of Age: My age is 53 Years which is within the age band of 45-65 Years.

14. (a) Have any legal proceedings initiated or are pending against you : Yes/No
(b) If Yes, please furnish the details NA

15. (a) Have you been or are designated partner in limited liability partnerships (LLP) : Yes/No
(b) If yes, please furnish the following details

Name of LLP	Nature of industry	Duration (with dates)
1.		
2.		
3.		

16. Directorship held/presently being in companies (both CPSEs and Private)

Name of company	Nature of industry	Nature of directorship	Duration (with dates)
Accurate Accounting Services Limited	Service Industry	Director	01/06/2011 to Till Date

17. Declaration regarding consent and conflict of interest duly signed attached (Annex 2) : Yes/No


(Signature)

Name: Ashwani Kumar

Date 29.9.17

Note 1: In case, you are not having Director Identification Number (DIN), you should immediately get the same in line with provisions of Sections 152 to 159 of the Companies Act, 2013 and furnish the updated bio-data to DPE.

Note 2: In case there is any change in the above position, the revised and updated bio-data may be furnished to DPE within 15 days of such change.

Criteria for selection/appointment of non-official Directors on the Boards of CPSEs as laid down by the Government

(A) Criteria of Experience

- (i) Retired Government officials with a minimum of 10 years experience at Joint Secretary level or above.
- (ii) Persons who have retired as CMD/CEOs of CPSEs and Functional Directors of the Schedule 'A' CPSEs. The ex-Chief Executives and ex-Functional Directors of the CPSEs will not be considered for appointment as non-official Director on the Board of the CPSE from which they retire. Serving Chief Executives/Directors of CPSEs will not be eligible to be considered for appointment as non-official Directors on the Boards of any CPSEs.
- (iii) Academicians/Directors of Institutes/Heads of Department and Professors having more than 10 years teaching or research experience in the relevant domain e.g. management, finance, marketing, technology, human resources, or law.
- (iv) Professionals of repute having more than 15 years of relevant domain experience in fields relevant to the company's area of operation.
- (v) Former CEOs of private companies if the company is (a) listed on the Stock Exchanges or (b) unlisted but profit making and having an annual turnover of at least Rs.250 crore.
- (vi) Persons of eminence with proven track record from Industry, Business or Agriculture or Management.
- (vii) Serving CEOs and Directors of private companies listed on the Stock Exchanges may also be considered for appointment as part-time non-official Directors on the Boards of CPSEs in exceptional circumstances.

(B) Criteria of Educational Qualification

Minimum graduate degree from a recognized university.

(C) Criteria of Age

The age band should be between 45-65 years (minimum/maximum limit)

This could, however, be relaxed for eminent professionals, for reasons to be recorded, being limited to 70 years.

(D) Reappointment

The non-official Directors, will not be re-appointed in the same CPSE after completing a maximum of two tenures, each tenure being for a period of three years.

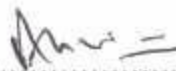
DECLARATION

(For non-official Directors on the Boards of Central Public Sector Enterprises)

I Ashwani Kumar son/daughter of Sh. Nand Lal Gupta and resident of

hereby certify that I have not been disqualified to act as a Director under Section 164,165 or any other relevant sections of the Companies Act,2013 and I fulfill the criteria laid down for appointment as non-official Director on the Boards of CPSEs by Department of Public Enterprises and meet the definition of 'independent director' as prescribed in Section 149 of the Companies Act,2013.


2. I convey my willingness to be appointed as non-official Director on the Boards of CPSEs.
3. I also certify that there would be no conflict of interest on my appointment as non-official Director on the Board of any CPSE except for (name of CPSE or CPSEs).
4. I also certify that I am not facing any charge of, nor have been ever convicted for, any act of moral turpitude or economic offence.

Signature.....


Name Ashwani Kumar

Designation/Occupation Chartered Accountant

Dated the 29th day of September, 2017

Witness:- 1)  S. Gupta CA Sahil Gupta,

2)  Harinder Singh


D-9 New Bent way
Jalandhar

Dr. Ashwani Kumar

B.Sc., FCA

DIRECTOR - STATE BANK OF PATIALA (2006-2012)

Alumni of Harvard University, U.S.A,

Alumni of University of Oxford, U.K,

Alumni of London School of Economics,

Ex Member - National Steel Consumers Council

Sp. Invitee - Direct Taxes Committee, I.C.A.I (2012)



Tel/Fax: 0181 - 508027
Email: caashwanigupta64@gmail.com
Website www.caashwanigupta.com

Bio-Data of Dr. CA Ashwani Kumar

C/o M/S Kumar Ashwani & Associates, Chartered Accountants

**Special Achievements : Managing Partner of an ISO-9001 certified firm.
Only firm of Chartered Accountants to have this
Certification from our region.**

1. Name : Dr. Ashwani Kumar
Age : 53 Yrs.
Membership No.of ICAI : 087169
Qualification : **Doctorate (Hon.) From Nosiri Khusrav
Qurgonteppa State University Of Tazakistan**

Fellow Chartered Accountant,(FCA)

Fellow Member of The Institute of Chartered Accountants of India, New Delhi

Alumni of Harvard University USA.

Participated in International Trade Policy and finance programme of Harvard university in 2007.

Regularly Participates in the Various other programs of The Harvard University.

Alumni Of University Of Oxford, U.K , Said Business School.

Participated in Private Equity Programme

**Fellow Of THE WHARTON SCHOOL,
prestigious Business School of U PENN**

Alumni of London School of Economics

**B.Sc.,(Medical) From Punjab University
Chandigarh**

Ashwani

2. Occupation

: **Full time Practicing Chartered Accountant.**
Managing Partner in
: M/S Kumar Ashwani & Associates, Jalandhar

Founder President GST & Taxation Bar

**Ex Member Of Steel Consumers Council,
Govt. Of India, Ministry Of Steel**

DIRECTOR IN STATE BANK OF PATIALA.
(2006-12) (Actively participated in all the
Committees of the Bank. Chairman of Audit
Committee, Member of the Executive Committee,
Customer Service Committee, Special Committee
on Frauds and Risk Management Committee etc.
As a board member I have ensured alignment
with organizational values, goals and directives in
all work performed and adherence to policies in
all matters of practice. Made many innovations
particularly in Credit Appraisal, Training, Audit,
Fraud Prevention etc.)

3. Address and Contact
Information

:
caashwanikumar64@gmail.com: 9814400270,
91-181-5080270, 91-181-2239270
www.caashwanigupta.com

4. Background & Experience

: Qualified as **science graduate** from one of the
most prestigious universities in India in **1983**.
Thereafter qualified as Chartered accountant
and became an **associate member of Institute
of Chartered Accountants of India in 1988**.
Became FCA in 1993. Started **own firm** of
Chartered Accountants in **1988**. Attained the
distinction of getting the **ISO 9001 certification
for the firm for excellent quality in services**.
Attained expertise in the areas of corporate
Taxation, Finance and Audit. Continued to
enhance the professional skills through various
education programmes. Practising as Chartered
Accountant for last 28 years.

5. Detailed Experience

: **28 Yrs** Experience of Corporate Accounting and
Management, besides Corporate Taxation,
Audit & Finance. Have gained excellent
**expertise on negotiations, due diligence
reports, internal analysis, developing
corporate strategic business and growth
plans, carrying out financial projections etc.**
Having great knowledge & experience of
Foreign Exchange Matters, Income Tax
matters, Service Tax Matters and other
Business Related Laws.
Well versed with IFRS and IAAS.



Other Areas Of Specialisation:

- Financial and strategic Planning
- P & L Management
- Taxation
- Analysis Of Financial Statements
- Auditing and Compliance
- Operating and Working Capital Management
- Budget Management
- Cash Flow Management And Modeling
- Expertise on International Tax Laws

Have acquired **SPECIALISED EXPERIENCE AND KNOWLEDGE FOR FINANCE & TAXATION FOR LARGE BUSINESS**, in particular regard to various Statutory and General Rules/ Requirements/ Provisions envisaged under different Study Groups

*Have acquired special expertise on the finance related & other problems of **SSI Sector**. Have conducted many joint seminars with Banks, SIDBI and Industrial Associations for studying the problems of small scale industries. Have read many papers on the desired financing patterns and problems relating to collateral security in SSI sector..*

Have **practiced** the profession of Chartered Accountancy **with great passion**. Have always been **helpful** to my professional colleagues .Always acted as a bridge between the Tax Payers and the Department. Through various Forums and Chambers of Commerce, tried to gather information relating to the problems being faced by the tax payers and brought it to the notice of the Tax Authorities. Through the same media have always explained the department's point of view on the various matters, to the tax payers and as such have helped in creating better understanding between them..

6. Personal Achievements

1. Ex Member of Executive Committee of **NRI Sabha Punjab** . Associated as consultant on Foreign Exchange Matters & Income Tax Matters.
2. Executive Member of National Organisation for welfare of animals namely **People for Animals**, Jalandhar chapter.

3. Conferred with the **Title of "Shan -E - Shehar", Jalandhar**
4. Member of Many Charitable Institutions.
5. **Advisory Member** of Many Business Associations in Punjab.
6. **Visiting Faculty for all the Central Detective Training Centers**
7. **Visiting Faculty for various education Institutions and Universities**
8. **Visiting faculty for Director's training program of Department of public enterprises.**
9. **Accompanied the business delegation of H'ble President Of India To Switzerland and Austria in 2002**

8. Published Materials

- : Articles written in Newspapers regarding Import & Export Policies, Taxation, Service tax and other topics

