

F.No. DPE/14/(38)/10-Fin.
Government of India
Ministry of Heavy Industries & Public Enterprises
Department of Public Enterprises

Public Enterprises Bhavan
Block No. 14, CGO Complex
Lodhi Road New Delhi

Dated: 19 January, 2018

OFFICE MEMORANDUM

Subject:-Implementation of Policies and Guidelines issued by Department of Public Enterprises.

The undersigned is directed to refer to the DPE correspondence vide OM's of even number regarding submission of Annual Compliance Report (ACR)/consolidated report of ACR on the above subject in respect of CPSEs under the administrative control of various Ministries/ Department.

2. The format for Annual Compliance Report (ACR) has been revised by updating the list of DPE guidelines on specific subjects. Updated format of ACR is enclosed for submitting ACRs.

3. It is further stated that instructions issued vide DPE OM No.14(38)/10-Fin dated 29.7.2010 for submission of ACRs by CPSEs and consolidated report by Administrative Ministries/Departments are reiterated. As per the guidelines issued by DPE, CPSEs are required to furnish the ACRs within 30 days from the close of the preceding financial year to the concerned Administrative Ministries/Department who in turn are required to furnish the consolidated compliance Report to DPE by 30th June of every year.

4. All the concerned administrative Ministries/Departments/CPSEs who have not submitted ACR are therefore, again requested to strictly comply with DPE guidelines and expedite furnishing the ACR for the year 2016-17 in respect of CPSEs under your administrative control, without any further delay.

Kalyani Mishra

(Kalyani Mishra)
Director
Ph: 24362061

Encl: as above

To

1. All concerned Secretaries of Administrative Ministries/Departments concerned with CPSEs(as per the list)
2. Chief Executive of all CPSEs(as per the list)

फा. सं. डीपीई/ 14/(38)/10- वित्त
भारत सरकार
भारी उद्योग और लोक उद्यम मंत्रालय
लोक उद्यम विभाग

लोक उद्योग भवन,
ब्लॉक संख्या 14, सी.जी.ओ. कॉम्प्लेक्स,
लोदी रोड, नई दिल्ली-110 003
दिनांक 19 जनवरी, 2018

कार्यालय ज्ञापन

विषय: लोक उद्यम विभाग द्वारा जारी नीतियों एवं दिशा-निर्देशों का कार्यान्वयन।

अधोहस्ताक्षरी को विभिन्न मंत्रालयों/ विभागों के प्रशासनिक नियंत्रण के अंतर्गत केंद्रीय सरकारी लोक उद्यमों के संबंध में उपर्युक्त विषय पर वार्षिक अनुपालन रिपोर्ट (एसीआर)/ समेकित रिपोर्ट प्रस्तुत करने के संबंध में लोक उद्यम विभाग के समसंख्यक ज्ञापनों के संबंध में पत्राचार का हवाला देने का निदेश हुआ है।

2. वार्षिक अनुपालन रिपोर्ट (एसीआर) के प्रपत्र को विशिष्ट विषयों पर लोक उद्यम विभाग के दिशा-निर्देशों की सूची को अद्यतन करते हुए संशोधित किया गया है। एसीआर का अद्यतन किया हुआ प्रपत्र एसीआर प्रस्तुत करने के लिए संलग्न है।

3. यह उल्लेखनीय है कि केंद्रीय सरकारी लोक उद्यमों द्वारा एसीआर प्रस्तुत करने और प्रशासनिक मंत्रालयों/ विभागों द्वारा समेकित रिपोर्ट भेजने के संबंध में लोक उद्यम विभाग के दिनांक 29.07.2010 के कार्यालय ज्ञापन सं. डीपीई-14/(38)/10-वित्त के तहत जारी अनुदेश को दोहराया जाता है। लोक उद्यम विभाग द्वारा जारी दिशा-निर्देशों के अनुसार केंद्रीय सरकारी लोक उद्यमों से अपेक्षित है कि वे एसीआर, आगामी वित्तीय वर्ष के समाप्त होने से 30 दिनों के अंदर, संबंधित प्रशासनिक मंत्रालयों/विभागों को प्रस्तुत करें जिसके बाद संबंधित प्रशासनिक मंत्रालयों/ विभागों से यह अपेक्षित है कि वे समेकित अनुपालन रिपोर्ट, लोक उद्यम विभाग को प्रत्येक वर्ष 30 जून तक प्रस्तुत कर दें।

4. सभी संबंधित प्रशासनिक मंत्रालयों/ विभागों/ केंद्रीय सरकारी लोक उद्यमों जिन्होंने एसीआर प्रस्तुत नहीं की है, से पुनः अनुरोध किया जाता है कि वे लोक उद्यम विभाग के दिशा-निर्देशों का कड़ाई से पालन करें तथा अपने प्रशासनिक नियंत्रणाधीन केंद्रीय सरकारी लोक उद्यमों से संबंधित वर्ष 2016-17 की एसीआर अविलम्ब जमा कर दें।

कल्याणी मिश्रा
(कल्याणी मिश्रा)
निदेशक
फोन: 24362061

संलग्नक: उपर्युक्त

सेवा में,

1. केंद्रीय सरकारी लोक उद्यमों से संबंधित सभी प्रशासनिक मंत्रालयों/ विभागों के सचिव (सूची के अनुसार)
2. सभी केंद्रीय सरकारी लोक उद्यमों के कार्यपालक (सूची के अनुसार)

No. DPE/ 14(38)/10-Fin.
Government of India
Ministry of Heavy Industries & Public Enterprises
Department of Public Enterprises

Public Enterprises Bhavan
Block No. 14, CGO Complex
Lodhi Road New Delhi
Dated 29th July, 2010

OFFICE MEMORANDUM

Subject: Implementation of Policies and Guidelines Issued by
Department of Public Enterprises.

Department related Parliamentary Standing Committee on Industry in its 216th report has recommended that in order to play a meaningful and effective role in getting the policies and guidelines implemented by the PSEs, Department of Public Enterprises should ask for the compliance report from the PSEs about the implementation of the policies and guidelines formulated by it from time to time and separate paragraph thereon may be incorporated in the Annual Report of this Ministry.

2. The above recommendation has been accepted by the Government. It has been decided that all CPSEs will submit annual compliance report within 30 days from the end of the preceding financial year to the concerned administrative Ministry which will consolidate the same for all CPSEs under its administrative control and forward it to the Department of Public Enterprises by 30th June every year. In case of non-compliance of any of the guidelines, the reasons for the same and comments of concerned administrative Ministry should be incorporated in such consolidated report.

3. The administrative Ministries and the Central Public Sector Enterprises are advised to take appropriate measures for strict compliance of the above directions.

4. This issues with the approval of Minister for Heavy Industries & Public Enterprises.

V.K. Jindal
(V.K. JINDAL)
DIRECTOR

To

1. Secretaries of Administrative Ministries/Departments concerned with CPSEs
2. Chief Executive of all CPSEs.
3. Financial Advisors of the Administrative Ministries/Departments.

Issued
RS
5/8/10

COMPLIANCE REPORT OF GUIDELINES ISSUED BY DEPARTMENT OF PUBLIC ENTERPRISES

This is certified that(Name of CPSE) have complied with all the guidelines issued by DPE from time to time on various subjects during financial yearexcept the following :-

S.No.	Subject	Applicable Guideline DPE OM No. & date	Reasons for Non- Compliance/Exemption taken
1.			
2.			
3.			
4.			
5.			

Further certificate on compliance of specific DPE guidelines is Annexed.

(Signature of CEO)

To
The Secretary (Concerned Administrative Ministry/Department)

Certificate on Compliance of specific DPE guidelines

Name of CPSE.....Financial Year.....

Sl.No	Subject	Applicable Guidelines (DPE OM.No.& Date)	Whether complied or not	If not, reasons for non-compliance /Exemption taken / Remarks
Policy & Planning Division				
Capital Restructuring				
1.	Guidelines on Capital Restructuring of Central Public Sector Enterprises (CPSEs).	DPE OM No. PP-14/005/2016 dated 20 June, 2016 circulating DIPAM guidelines on the subject issued vide a DIPAM OM No. 5/2/2016-policy dated 27 th May, 2016.		
Investment of Surplus Funds				
2.	Guidelines on investment of Surplus Funds by the CPSEs.	DPE OM No. 18(1)/2012-Fin. Dated 8/5/2017.		
Revival/Restructuring and Closure				
3.	Guidelines for Time Bound Closure of sick/ loss making Central Public Sector Enterprises (CPSEs) and disposal of Movable and Immovable assets.	DPE OM No. DPE/5(1)/2014-Fin (Part) dated 07.09.2016		
4.	Guidelines for Streamlining the Mechanism for Revival and Restructuring of sick/ incipient sick and weak Central Public Sector Enterprises: General Principles and Mechanism of Restructuring.	DPE/5(1)/2014-Fin.(Part-IX)) dated 29 th October, 2015.		

Procurement related (Procurement from Micro, Small and Medium Enterprises).

5.	Relaxation of Norms for Startups Medium Enterprises in Public Procurement Regarding prior experience-prior turnover Criteria-reg.	DPE OM No. DPE/7(4)/2007-Fin dated 8 th November, 2016 circulating Deptt. of Expenditure OM NO.20/2/2014 PPD (Pt.) dated 25 th July, 2016.		
6.	Effective Implementation of Public procurement Policy for Micro & Small enterprises (MSMEs) order 2012	DPE OM No. DPE/7(4)/2007-Fin dated 16 th August, 2013.		
7.	Effective Implementation of Public procurement Policy for Micro & Small enterprises (MSMEs) order 2012	DPE OM No. DPE/7(4)/2007-Fin dated 6 th December, 2012		
8.	Prompt Payment of bills of MSMEs.	No. DPE/13(24)/08-Fin. dated 11 th December, 2008).		
9.	Registration of CSPEs under D/o Financial Services to facilitate financing of Trade receivables of MSMEs on the Trade Receivables Discounting System (TReDS) platform.	DPE OM No. 7(4)/2007-Fin. dated 17 th November, 2017.		

Procurement in PSUs.

10.	Use of DGS&D RCS for procurement in PSU Companies	DPE OM No. PP/9(4)/14-Fin dated 18th March 2014.		
11.	Corrigendum (consideration of report of committee on Public Procurement.	DPE/3(3)/10-Fin. dated 07-02-2012)		
12.	Mandatory Publication of tender enquiries on the Central Public Procurement Portal.	DPE OM No. DPE/3(3)/10-Fin. dated 20th December 2011.		
13.	Use of Integrity pact by Public Sector undertakings (PSUs) - Implementation of ARC Recommendation-Regarding.	DPE OM No. DPE/13(12)/11-Fin. dated 9th September, 2011.		

Foreign Investment				
14.	Raising of Limit for Investment by FPIs in Central Public Sector Enterprises (CPSEs) From 24% to 49% regarding.	DPE/13/(12)/10-Fin. dated 4 th August, 2016 circulating DEA OM No. 10/1/2016-FIU dated 21 st July, 2016.		
Austerity Measures				
15.	Expenditure Management Economy Measures and Rationalization of Expenditure - regarding	DPE OM No. DPE/3(4)/08-Fin dated 26th November, 2014.		
16.	Expenditure Management- Economy Measures and Rationalization of Expenditure.	DPE OM No. DPE/3(4)/08-Fin dated 12th September, 2014.		
Travel Related				
17	Fees / Travelling Allowance Etc. of Govt. Servants-Appointed as Director/Representatives or Nominees of the Govt. of India on Central Public Sector Enterprises etc.	No. DPE/8(3)/14-Fin. dated 7 th July, 2014)		
18.	Utilization of Mileage Points / Other Incentives Earned by CPSE employees on Tickets Purchased for Official Travel	DPE OM No. DPE/3(4)/08-Fin dated 10th May, 2013		
19.	Utilization of Mileage Points / Other Incentives Earned by CPSE employees on Tickets Purchased for Official Travel.	DPE OM No. DPE/3(4)/08-Fin dated 20th February, 2013.		
20.	"Air Travel on official Account" - both Domestic and International where CPSEs bear the cost of air passage.	DPE OM No. DPE/3(4)/08-Fin dated 7th January, 2013.		

Implementation of DPE guidelines				
21.	Implementation of Policies and Guidelines issued by Department of Public Enterprises.	DPE OM No. DPE/14/(38)/10-Fin. dated 15 th September, 2014. <i>and 29th July 2010 alongwith 19 Jan 2018.</i>		
Land Related				
22.	Updated position regarding title deeds or encumbrances of Land buildings owned by Central Public Sector Enterprises (CPSEs).	DPE OM No. DPE/3(3)/2006-Fin. dated 30th June 2006.		
Transportation related				
23.	Compliance of the provisions of the carriage by Road Act, 2007 and rules made hereunder	DPE OM No. DPE/13(22)/13-FIN. Dated 31 st December, 2013 vide which DO letter No. RT-11023/1/2012-T dated 11 th December, 2013 was circulated.		
Holding of Shares in Government Companies				
24.	Holding of shares in Government companies in the names of the President of India and the Governor of a State.	D/O Company Affairs No.15/32/65-IGC dated 30th September, 1966).		
Financial statements/Reports				
25.	Holding Annual General Meeting and filing Annual Accounts with the registrar of companies-strict compliance of provisions of the companies Act, 1956 regarding.	DPE O.M. No. 13/9/99-Fin.G-VI dated 21st April, 1999		
26.	Follow up action on the reports of the Comptroller and Auditor General of India (Commercial).	DPE O.M. No. DPE/4(6)/99-Fin.GL-X dated 19th August, 1999		

27.	Laying of Annual Reports and Audited accounts of statutory corporations and of Government Companies under the Companies Act, 1956, on the table of the House.	DPE O.M. No. 3(7)/2002-Fin.-GL-XX dated 28th August, 2003.		
Wage cell				
28.	Revision of scales of pay of the executive holding posts below the board level and non-unionised supervisors w.e.f. 1.1.1992.	DPE O.M.No. 2(50)/86-DPE(WC) dated 19 th July, 1995		
29.	Board Level Posts in Public Enterprises-Revision of Scales Of Pay Of Schedule Posts W.E.F. 1.1.1992.	DPE O.M. No. 2(50)/86-DPE(WC) dated 19 th July, 1995		
30.	Policy for the Sixth Round for Wage Negotiations in Public Sector Enterprises.	DPE O.M. No. 2(11)/96-DPE(WC) dated 14.1.1999		
31.	Policy for the Sixth Round for Wage Negotiations in Public Sector Enterprises.	DPE O.M. No. 2(11)/96-DPE(WC) dated 26 TH July, 2000.		
32.	Board Level posts and below board level posts Including Non-Unionised supervisors In Public Enterprises - Revision of Scales of Pay w.e.f. 1.1.1997.	DPE O.M. No. 2(49)/98-DPE(WC) dated 25 th June, 1999		
33.	Wage negotiations for workers in Central Public Sector Enterprises in respect of those CPSEs which have opted for five year wage negotiation w.e.f. 1.1.97	DPE O.M. No. 2(11)/96-DPE(WC) –GL-I dated 11 th February, 2004		
34.	Policy for the 7 th round of wage negotiations for unionized workers in Central Public Sector Enterprises w.e.f.1.1.2007.	DPE O.M. No. 2(7)/2006-DPE(WC)-GL-XIV dated 9 th November, 2006		
35.	Policy for the 7 th round of wage negotiations for unionized workers in Central Public Sector Enterprises-periodicity of wage settlement.	DPE O.M. No. 2(7)/2006-DPE(WC) –GL-VI dated 1 st May, 2008		

36.	Pay revision of CPSEs employees following CDA pattern in 69 Central Public Sector Enterprises	DPE O.M.No. 2(54)/2008-DPE (WC)-XI/08 dated 14 th October, 2008.		
37.	Board Level and below level executives and Non-Unionised supervisors in Central Public Sector Enterprises (CPSEs)- revision of scale of pay w.e.f.01.01.2007	DPE O.M. No. 2(70)/08-DPE(WC) dated 26 th November, 2008		
38.	Pay revision of CPSEs employees following CDA pattern in 69 Central Public Sector Enterprises-revised rates of other allowances.	DPE O.M. No. 2(54)/2008-DPE (WC)-GL-I/09 dated 20 th January, 2009.		
39.	Revision of pay scales of executives of Central Public Enterprises (CPSEs) w.e.f 01.01.2007-Performance Management System (PMS)-linkage of performance Related Pay (PRP) with MoU rating of CPSEs and performance of individual executives-regarding	DPE O.M. No. 2(70)/08-DPE(WC)-GL IV/09 dated 9 th February, 2009		
40.	Revision of scales of pay w.e.f 01.01.2007 for board level and below board level executives and Non-Unionised supervisors in the Central Public Sector Enterprises (CPSEs)-report of the committee of ministers thereon.	DPE O.M. No. 2(70)/08-DPE(WC)-GL VII/09 dated 2 nd April, 2009		
41.	Recommendations of 2 nd pay Revision Committee (PRC) in respect to sick CPEs and CPSEs having level less than Rs. 50 crore.	DPE O.M. No. 2(74)/08-DPE(WC)-GL XI/2010 dated 16 th June, 2010		
42.	Policy for the 7th Round (2nd part) of Wage Negotiations for unionized workers in Central Public Sector Enterprises w.e.f. 01.01.2012	DPE O.M. No. 2(110)/11-DPE(WC)-GL XVII/13 dated 13 th June, 2013		
43.	Pay revision of Board level and below Board level Executives and Non-Unionized Supervisors of CPSEs W.E.F 01/01/2017	DPE O.M.No. W-02/0028/2017-DPE-(WC)-GL-XIII/17 dated 3 RD August, 2017.		

44.	Pay revision of employees following CDA pattern in Central Public Sector Enterprises (CPSEs)-reg.	DPE O.M.No. W-02/0058/2016-DPE-(WC)-GL-XIV/17 dated 17 th August, 2017.		
45.	Pay revision of Board level and below Board level Executives and Non-Unionised Supervisors of CPSEs W.E.F 01/01/2017 decision on allowances other than the allowance under 'Cafeteria approach'.	DPE O.M.No. W-02/0028/2017-DPE-(WC)-GL-XIV/17 dated 4 th August, 2017.		
46.	Pay revision of Board level executives and non-unionised supervisors of CPSEs w.e.f. 01.01.2017-decision on Location based compensatory allowances and Non-Practicing Allowance (NPA)	DPE O.M. No. W-02/0028/2017-DPE-(WC)-GL-XIV/17 dated 7 th September, 2017		
47.	Wage Policy regarding 8 th Round of Wage Negotiations for workmen in CPSEs.	DPE O.M.No. W-02/0015/2016-DPE-(WC)-GL-XXIV/17 dated 24 TH November, 2017.		
General Management Division				
48.	Periodical review for ensuring probity and efficacy among employees of CPSEs	DPE-GM-01/0001/2015-GM-FTS-4857 dated 14 th December, 2015		
49.	Discontinuation of interview for recruitment to Non-Executive posts in CPSEs.	DPE-GM-01/0001/2015-GM-FTS-4857 dated 14 th December, 2015		
50.	Conduct of accessibility audit of the infrastructure of CPSEs in respect of Persons with Disabilities (PwD).	F. No. 6(9)/2014(SC/ST Cell)/FTS-1955 dated 23th February, 2016		
51.	Deputation of Government Servants to CPSEs	18(6)/2001-GM-GL-77 dated 28.12.2005.		

Signature of CEO